The complexity of carry propagation for successor functions

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Joint work with Valérie Berthé, Christiane Frougny, and Michel Rigo

DCFS 2018, 26 July 2018, Halifax (NS)

Adding machine

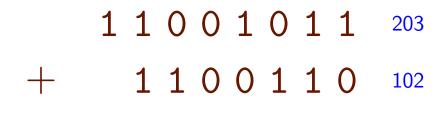
The Pascaline (1642)

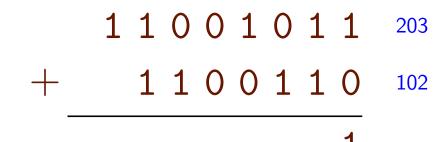


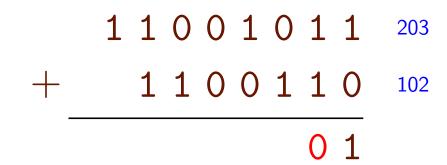
featured the first carry propagation mechanism

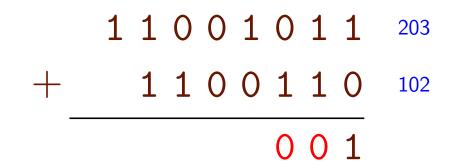
 1
 1
 0
 0
 1
 0
 1
 1
 1
 203

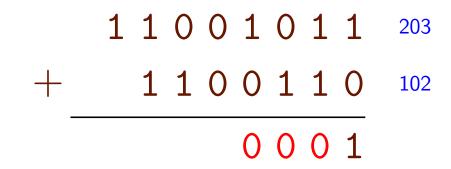
 1
 1
 0
 0
 1
 1
 0
 102

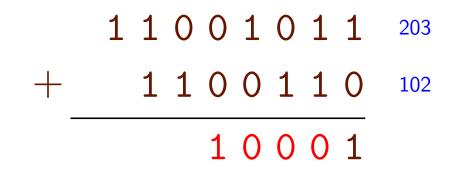


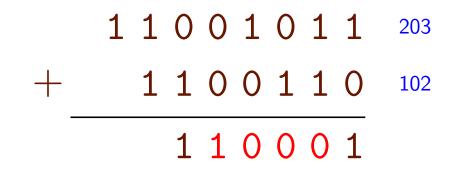


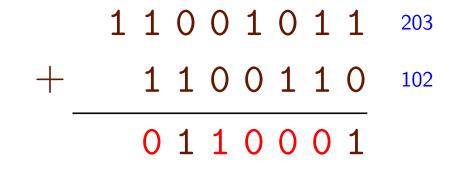


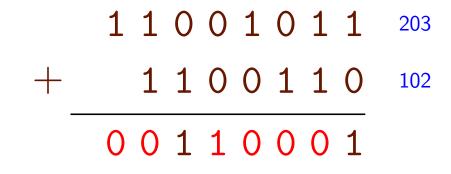


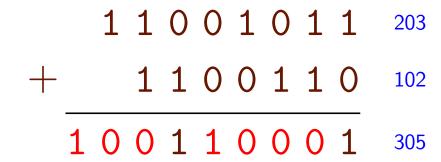


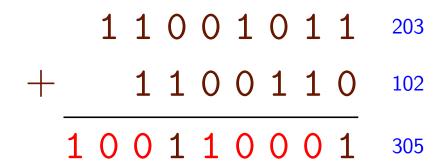




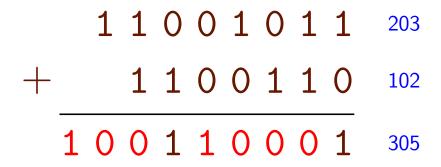








Carry propagation prevents addition to be parallelable

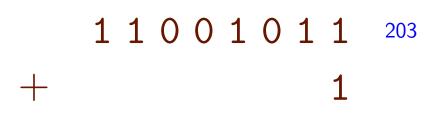


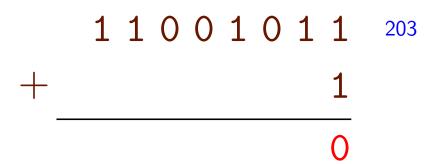
Theorem (von Neumann et al. 63, Knuth 78, Pippenger 02)

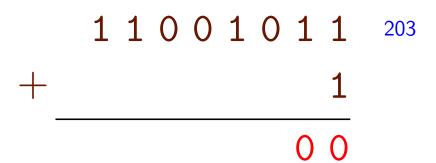
Average carry propagation length for addition of

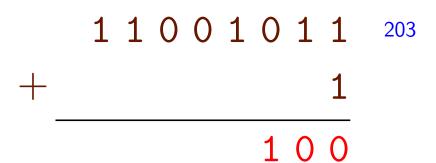
two uniformly distributed n-digit binary numbers = $\log_2(n) + O(1)$

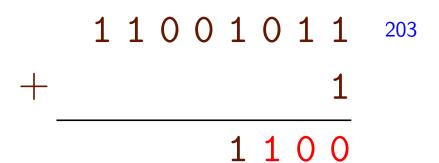
1 1 0 0 1 0 1 1 203

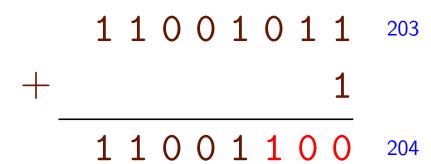












 $cp_2(203) = 3$

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Amortized carry propagation (in base 2)

$$CP_2 = \lim_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} cp_2(i)$$

$$cp_2(203) = 3$$

Amortized carry propagation (in base 2)

$$\mathsf{CP}_2 = \mathsf{lim}_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} \mathsf{cp}_2(i)$$

if it exists!

		0
	1	1
	10	2
	1 1	3
	100	4
	101	5
	110	6
	111	7
1	000	8
1	001	9
1	010	10
1	011	11
1	100	12
1	101	13
1	110	14
	111	15
10	000	16
10	001	17

	0	1
1	1	
10	2	
1 1	3	
100	4	
101	5	
110	6	
111	7	
1000	8	
1001	9	
1010	10	
1011	11	
1100	12	
1101	13	
1110	14	
1111	15	
10000	16	
10001	17	

•	0	
1	1	
10	2	
11	3	
100	4	
101	5	
110	6	
111	7	
1000	8	
1001	9	
1010	10	
1011	11	
1100	12	
1 1 0 1	13	
1110	14	
1111	15	
10000	16	
10001	17	

•	0	
1	1	
10	2	
11	3	
100	4	
101	5	
110	6	
111	7	
1000	8	
1001	9	
1010	10	
1011	11	
1100	12	
1101	13	
1110	14	
1111	15	
10000	16	
10001	17	

•	0	
1	1	
10	2	
1 1	3	
100	4	
101	5	
110	6	
111	7	
1000	8	
1001	9	
1010	10	
1011	11	
1100	12	
1101	13	
1110	14	
1111	15	
10000	16	
10001	17	

0	
1	1
2	10
3	11
4	100
5	101
6	110
7	111
8	1000
9	1001
10	1010
11	1011
12	1100
13	1101
14	1110
15	1111
16	10000
17	10001

•	0
1	1
10	2
1 1	3
100	4
101	5
110	6
111	7
1000	8
1001	9
1010	10
1011	11
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1101	13
1110	14
1111	15
10000	16
10001	17

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10	2	
11	3	
100	4	
101	5	
110	6	
111	7	
1000	8	
1001	9	
1010	10	
1011	11	
1100	12	
1101	13	
1110	14	
1111	15	
10000	16	
10001	17	

	U	
1	1	
10	2	
11	3	
100	4	
101	5	
110	6	
111	7	
1000	8	
1001	9	
1010	10	
1011	11	
1100	12	
1101	13	
1110	14	
1111	15	
10000	16	
10001	17	

•	0	
1	1	
10	2	
11	3	
100	4	
101	5	
110	6	
111	7	
1000	8	
1001	9	
1010	10	
1011	11	
1100	12	
1101	13	
1110	14	
1111	15	
10000	16	
10001	17	

	•	0
	1	1
	10	2
	11	3
	100	4
	1 0 1	5
	110	6
	111	7
	1000	8
	1001	9
	1010	10
	1011	11
	1100	12
	1101	13
	1110	14
	1111	15
	0000	16
1	0001	17

$\begin{cal}Carry propagation for successor function in base 2 \end{cal}$

	0	1
1	1	2
10	2	1
11	3	3
100	4	1
101	5	2
110	6	1
111	7	4
1000	8	1
1001	9	2
1010	10	1
1011	11	3
1100	12	1
1101	13	2
1110	14	1
1111	15	5
10000	16	1
10001	17	2

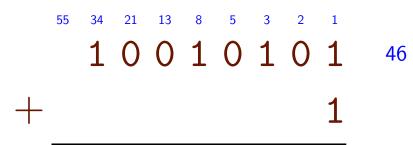
$\textbf{Carry propagation for successor function in base} \ 2$

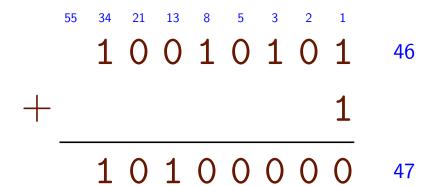
	0	1
1	1	1 1
10	2	1
1 1	3	111
100	4	1
101	5	1 1
110	6	1
111	7	1111
1000	8	1
1001	9	1 1
1010	10	1
1011	11	111
1100	12	1
1101	13	1 1
1110	14	1
1111	15	11111
10000	16	1
10001	17	1 1

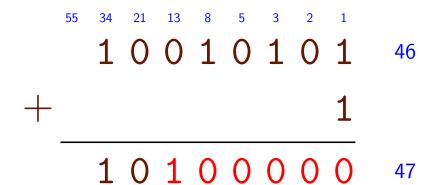
		U	<u>+</u>
	1	1	11
	10	2	1
	1 1	3	111
	100	4	1
1 1 1 2	101	5	11
$CP_2 = 1 + \frac{1}{2} + \frac{1}{2^2} + \frac{1}{2^3} + \dots = \frac{2}{2-1} = 2$	110	6	1
Z Z^{-} Z^{-} Z^{-}	111	7	1111
	1000	8	1
	1001	9	1 1
	1010	10	1
	101 <mark>1</mark>	11	111
	1100	12	1
	1 1 0 1	13	1 1
	1110	14	1
	1111	15	11111
	10000	16	1
	10001	17	1 1

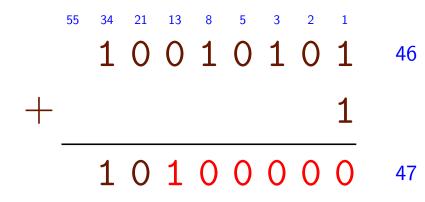
10010101

55 34 21 13 8 5 3 2 1 1 0 0 1 0 1 0 1

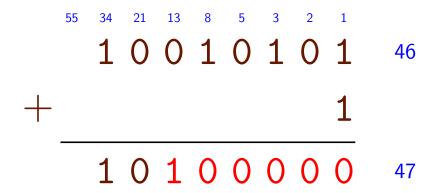








$$cp_F(46) = 6$$



$$cp_F(46) = 6$$

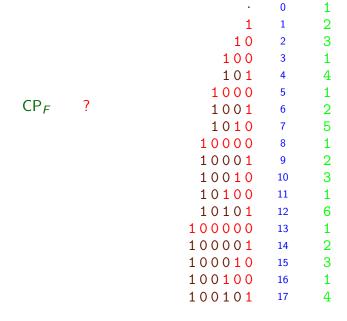
Amortized carry propagation in base Fibonacci

$$\mathsf{CP}_F = \mathsf{lim}_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} \mathsf{cp}_F(i)$$

if it exists!

•	U
1	1
10	2
100	3
101	4
1000	5
1001	6
1010	7
10000	8
10001	9
10010	10
10100	11
10101	12
100000	13
100001	14
100010	15
100100	16
100101	17

1	1	2
10	2	3
100	3	1
101	4	4
1000	5	1
1001	6	2
1010	7	5
10000	8	1
10001	9	2
10010	10	3
10100	11	1
10101	12	6
100000	13	1
100001	14	2
100010	15	3
100100	16	1
100101	17	4



	•	U	
	1	1	2
	10	2	3
	100	3	1
	101	4	4
	1000	5	1
CP _F ?	1001	6	2
	1010	7	5
	10000	8	1
φ	10001	9	2
$CP_F = \frac{\varphi}{\varphi - 1}$?	10010	10	3
γ –	10100	11	1
	10101	12	6
	100000	13	1
	100001	14	2
	100010	15	3
	100100	16	1
	100101	17	4

2 1 0 1 1 2 1

Integer representations in base 3: the Euclidean approach

$$V = \left\{ v_i = (3)^i \mid i \in \mathbb{N} \right\}$$
 together with $A_3 = \{0, 1, 2\}$

Division algorithm $N \in \mathbb{N}$

$$N \in \mathbb{N}$$

$$N_0 = N$$
 $N_0 = 3 N_1 + a_0$ $a_0 \in A$
 $N_1 = 3 N_2 + a_1$ $a_1 \in A$
...

$$N = \sum_{i=0}^{k} a_i 3^i \qquad \langle N \rangle_3 = a_k a_{k-1} \dots a_1 a_0$$

Integer representations in base 3: the Euclidean approach

$$V = \left\{ v_i = (3)^i \mid i \in \mathbb{N} \right\}$$
 together with $A_3 = \{0, 1, 2\}$

Division algorithm $17 \in \mathbb{N}$

$$N_0 = 17$$
 $17 = N_0 = 3 \cdot 5 + 2$
 $5 = N_1 = 3 \cdot 1 + 2$
 $1 = N_2 = 3 \cdot 0 + 1$
 $a_0 = 2 \in A$
 $a_1 = 2 \in A$
 $a_2 = 1 \in A$

$$17 = ((1) \cdot 3 + 2) \cdot 3 + 2$$
 $\langle 17 \rangle_3 = 122$

Integer representations in base $\frac{3}{2}$: the Euclidean approach

$$U = \left\{ u_i = \frac{1}{2} \left(\frac{3}{2} \right)^i \mid i \in \mathbb{N} \right\}$$
 together with $A_3 = \{0, 1, 2\}$

Modified division algorithm $N \in \mathbb{N}$

$$N \in \mathbb{N}$$

$$N_0 = N$$

 $2 N_0 = 3 N_1 + a_0$ $a_0 \in A$
 $2 N_1 = 3 N_2 + a_1$ $a_1 \in A$

$$N = \sum_{i=0}^{k} a_{i} \frac{1}{2} \left(\frac{3}{2} \right)^{i} \qquad \langle N \rangle_{\frac{3}{2}} = a_{k} a_{k-1} \dots a_{1} a_{0}$$

Integer representations in base $\frac{3}{2}$: the Euclidean approach

$$U = \left\{ u_i = \frac{1}{2} \left(\frac{3}{2} \right)^i \mid i \in \mathbb{N} \right\}$$
 together with $A_3 = \{0, 1, 2\}$

Modified division algorithm $5 \in \mathbb{N}$

$$N_0 = 5$$
 $2 N_0 = 2 \cdot 5 = 3 \cdot 3 + 1$
 $1 \in A$
 $2 N_1 = 2 \cdot 3 = 3 \cdot 2 + 0$
 $0 \in A$
 $2 N_2 = 2 \cdot 2 = 3 \cdot 1 + 1$
 $1 \in A$
 $2 N_3 = 2 \cdot 1 = 3 \cdot 0 + 2$
 $2 \in A$

$$5 = \frac{1}{2} \left[\left(\left((2) \cdot \frac{3}{2} + 1 \right) \cdot \frac{3}{2} + 0 \right) \cdot \frac{3}{2} + 1 \right]$$
 $\langle 5 \rangle_{\frac{3}{2}} = 2101$

Theorem (Akiyama, Frougny, S. 08)

Every N in $\mathbb N$ has an integer representation in the $\frac{3}{2}$ -system.

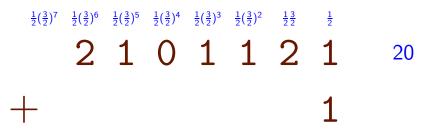
It is the unique finite $\frac{3}{2}$ -representation of N.

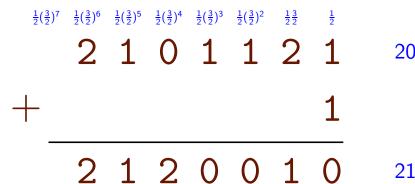
Theorem (Akiyama, Frougny, S. 08)

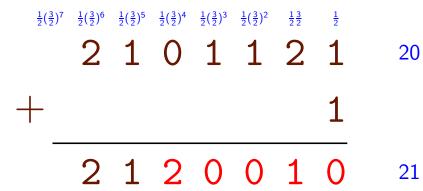
Every N in $\mathbb N$ has an integer representation in the $\frac32$ -system. It is the unique finite $\frac32$ -representation of N .

$$L_{\frac{3}{2}} = \left\{ \langle N \rangle_{\frac{3}{2}} \mid N \in \mathbb{N} \right\} = ????$$

Some information in works by Akiyama, Marsault, and S. (13–17)







$$cp_{\frac{3}{2}}(20) = 5$$

$$cp_{\frac{3}{2}}(20) = 5$$

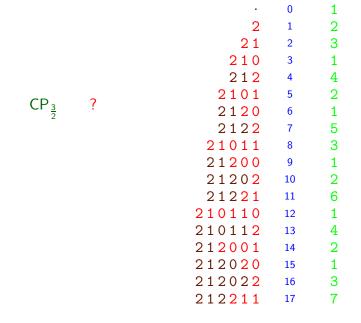
Amortized carry propagation in base 3/2

$$\mathsf{CP}_{\frac{3}{2}} = \mathsf{lim}_{N o \infty} \frac{1}{N} \sum_{i=0}^{N-1} \mathsf{cp}_{\frac{3}{2}}(i)$$

if it exists!

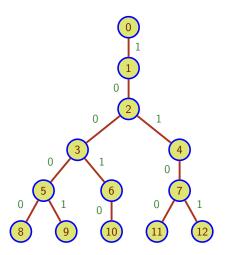
· 0	
2 1	
21 2	
210 3	
212 4	
2101 5	
2120 6	
2122 7	
21011 8	
21200 9	
21202 10	
21221 11	
210110 12	
210112 13	
212001 14	
212020 15	
212022 16	
212211 17	

		0	1
	2	1	2
	21	2	3
	210	3	1
	21 <mark>2</mark>	4	4
	2101	5	2
	2120	6	1
	2122	7	5
2	21011	8	3
2	21200	9	1
2	21202	10	2
2	21221	11	6
2 1	0110	12	1
2 1	0112	13	4
2 1	2001	14	2
2 1	2020	15	1
2 1	2022	16	3
2 1	2211	17	7



	•	0	1
	2	1	2
	21	2	3
	210	3	1
	212	4	4
CD 3	2101	5	2
CP _{3/2} ?	2120	6	1
	2122	7	5
	21011	8	3
$CP_{\frac{3}{2}} = \frac{\frac{3}{2}}{\frac{3}{2} - 1} = 3$?	21200	9	1
$CP_{\frac{3}{2}} = \frac{\frac{3}{2}}{\frac{3}{2} - 1} = 3$?	21202	10	2
$\frac{2}{2}-1$	21221	11	6
	210110	12	1
	210112	13	4
	212001	14	2
	212020	15	1
	212022	16	3
	212211	17	7

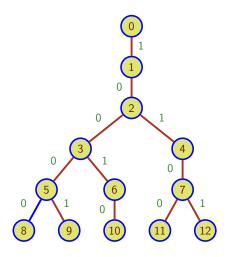
A primal observation



The Fibonacci tree

A primal observation

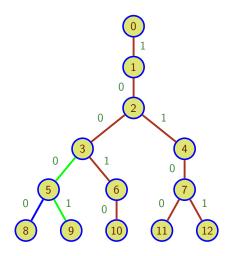
$$cp_F(8) = 1$$



The Fibonacci tree

$$cp_F(8) = 1$$

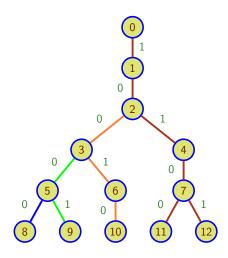
 $cp_F(9) = 2$



The Fibonacci tree

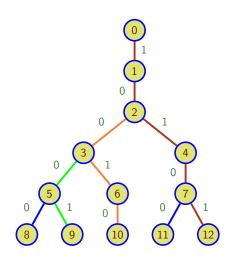
$$cp_F(8) = 1$$

 $cp_F(9) = 2$
 $cp_F(10) = 3$



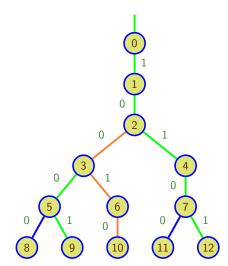
$$cp_F(8) = 1$$

 $cp_F(9) = 2$
 $cp_F(10) = 3$
 $cp_F(11) = 1$



$$cp_F(8) = 1$$

 $cp_F(9) = 2$
 $cp_F(10) = 3$
 $cp_F(11) = 1$
 $cp_F(12) = 6$

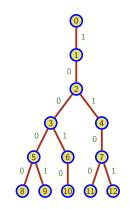


$$cp_{F}(8) = 1$$
 $cp_{F}(9) = 2$
 $cp_{F}(10) = 3$
 $cp_{F}(11) = 1$
 $cp_{F}(12) = 6$

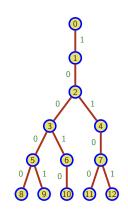
$$\sum_{i=8}^{i=12} cp_{F}(i) = 13$$

$$\begin{cases}
5 & 6 & 7
\end{cases}$$

$$\begin{cases}
6 & 7
\end{cases}$$

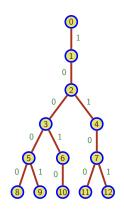


► A framework: the Abstract Numeration System model



► A framework: the Abstract Numeration System model

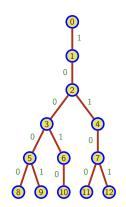
A general working hypothesis: Prefix-closed Extendable Languages



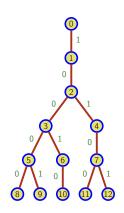
A framework: the Abstract Numeration System model

A general working hypothesis: Prefix-closed Extendable Languages

An essential parameter: The local growth rate



► A framework: the Abstract Numeration System model

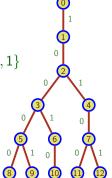


A framework:

the Abstract Numeration System model

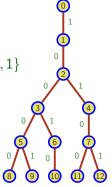
Definition (Lecomte & Rigo 2001)

• A finite totally ordered alphabet e.g. $A = \{0, 1\}$



A framework: the Abstract Numeration System model

- A finite totally ordered alphabet e.g. $A = \{0, 1\}$
- \Rightarrow A^* equipped with the *radix ordering*



A framework:

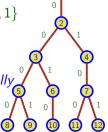
the Abstract Numeration System model

Definition (Lecomte & Rigo 2001)

- A finite totally ordered alphabet e.g. $A = \{0, 1\}$
- \Rightarrow A^* equipped with the *radix ordering*

i.e. ordered first by length, and then,

for words of equal length, ordered lexicographically



A framework:

the Abstract Numeration System model

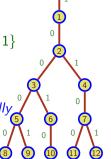
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- \Rightarrow A^* equipped with the *radix ordering*

i.e. ordered first by length, and then,

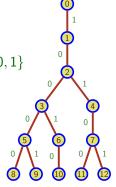
for words of equal length, ordered lexicographically

e.g.
$$A^* = \varepsilon, 0, 1, 00, 01, 10, 11, 000, 001, \dots$$



A framework: the Abstract Numeration System model

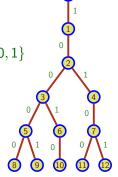
- A finite totally ordered alphabet e.g. $A = \{0, 1\}$
- \Rightarrow A^* equipped with the *radix ordering*
- $L \subseteq A^*$ any language over A^* ordered by radix ordering



A framework: the Abstract Numeration System model

- A finite totally ordered alphabet e.g. $A = \{0, 1\}$
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e.g.
$$F = \varepsilon \cup 1A^* \setminus A^*11A^*$$



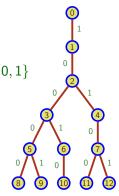
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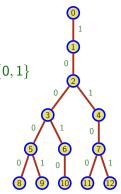
e.g.
$$F = \varepsilon \cup 1 A^* \setminus A^* 11 A^*$$

 $F = \varepsilon, 1, 10, 100, 101, 1000, \dots$



A framework: the Abstract Numeration System model

- A finite totally ordered alphabet e.g. $A = \{0, 1\}$
- \Rightarrow A^* equipped with the *radix ordering*
- $L \subseteq A^*$ any *language* over A^* ordered by radix ordering
- \Rightarrow Natural integers are given *representations* by means of words of L



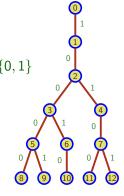
► A framework:

the Abstract Numeration System model

Definition (Lecomte & Rigo 2001)

- A finite totally ordered alphabet e.g. $A = \{0, 1\}$
- \Rightarrow A^* equipped with the *radix ordering*
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- ⇒ Natural integers are given representations by means of words of L

i.e. $\langle n \rangle_L = (n+1)$ -th word of L in the radix ordering

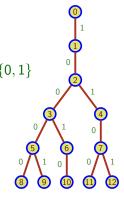


A framework:

the Abstract Numeration System model

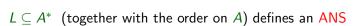
- A finite totally ordered alphabet e.g. $A = \{0, 1\}$
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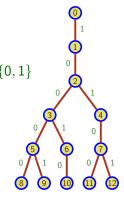
i.e.
$$\langle n \rangle_L = (n+1)$$
-th word of L in the radix ordering e.g. $\langle 6 \rangle_F = 1001$



A framework: the Abstract Numeration System model

- A finite totally ordered alphabet e.g. $A = \{0, 1\}$
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A framework: the Abstract Numeration System model

Definition (Lecomte & Rigo 2001)

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- \Rightarrow A^* equipped with the *radix ordering*
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- \Rightarrow Natural integers are given *representations* by means of words of L

 $L\subseteq A^*$ (together with the order on A) defines an ANS

	•	0	
	1	1	
	10	2	
	1 1	3	
	100	4	
	101	5	
$L_2 = 1(0,1)^*$	110	6	
	111	7	
	1000	8	
	1001	9	
	1010	10	
	1011	11	
	1100	12	
	1101	13	
	1110	14	
	1111	15	
	10000	16	

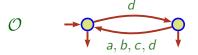
			0
	1	1	1
	10	10	2
	100	11	3
	101	100	4
	1000	101	5
$L_F = 1(0,1)^* \setminus (0,1)^* 11(0,1)^*$	1001	110	6
	1010	111	7
	10000	1000	8
	10001	1001	9
	10010	1010	10
	10100	1011	11
	10101	1100	12
1	00000	1101	13
1	00001	1110	14
1	00010	1111	15
1	00100	10000	16

	•	•	•	0
	2	1	1	1
	2 1	10	10	2
	210	100	1 1	3
	212	101	100	4
,	2101	1000	101	5
$L_{\frac{3}{2}}$	2120	1001	110	6
	2122	1010	111	7
	21011	10000	1000	8
	21200	10001	1001	9
	21202	10010	1010	10
	21221	10100	1011	11
	210110	10101	1100	12
	210112	100000	1101	13
	212001	100001	1110	14
	212020	100010	1111	15
	212022	100100	10000	16

What we learn from the primal observation: the ANS model Any language can be seen as an ANS

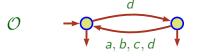
Any language can be seen as an ANS

Language O



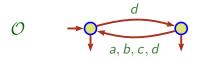
Any language can be seen as an ANS

Language O (for Oscillating)



Any language can be seen as an ANS

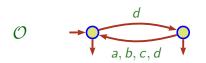
Language O (for Oscillating)



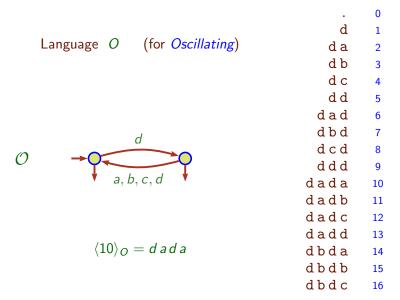
d d a d b d c d d dad d b d d c d d d d dada dadb dadc dadd dbda dbdb dbdc

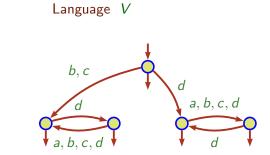
Any language can be seen as an ANS

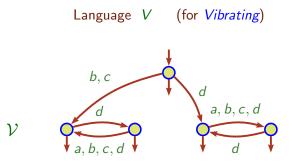
Language O (for Oscillating)

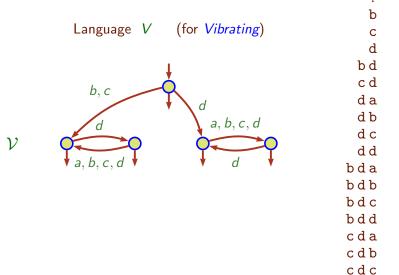


•	•
d	1
d a	2
d b	3
d c	4
d d	5
dad	6
dbd	7
dcd	8
ddd	9
dada	10
dadb	11
dadc	12
dadd	13
dbda	14
dbdb	15
dbdc	16



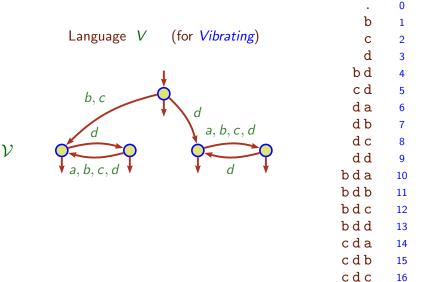






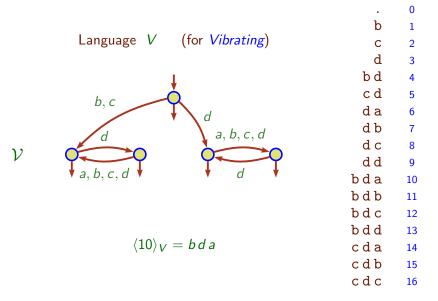
What we learn from the primal observation: the ANS model

Any language can be seen as an ANS

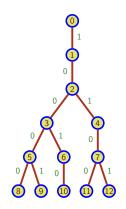


What we learn from the primal observation: the ANS model

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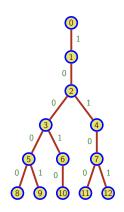


- ► A framework: the Abstract Numeration System model
- ▶ A general working hypothesis: Prefix-Closed Extendable Languages



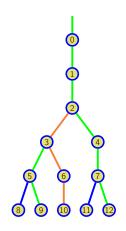
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 $L \subseteq A^*$ an ANS



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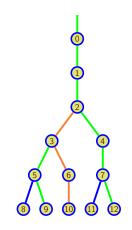
- A framework: the Abstract Numeration System model
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$$L \subseteq A^*$$
 an ANS

Notation

$$\mathbf{u}_L(\ell) = \operatorname{card} \left(L \cap A^{\ell}\right)$$

 $\mathbf{v}_L(\ell) = \operatorname{card} \left(L \cap A^{\leqslant \ell}\right) = \sum_{i=0}^{\ell} \mathbf{u}_L(i)$



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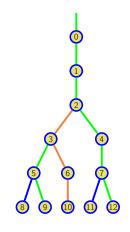
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The formula we want: $\sum_{i=\mathbf{v}_{L}(\ell-1)}^{2} \operatorname{cp}_{L}(i) = \mathbf{v}_{L}(\ell)$



- A framework: the Abstract Numeration System model
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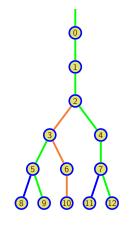
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The formula we want: $\sum_{i=\mathbf{v}_{l}(\ell-1)}^{\mathbf{v}_{L}(\ell)} \operatorname{cp}_{L}(i) = \mathbf{v}_{L}(\ell)$



requires L prefix-closed and extendable i.e. to be a PCE language

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$$L \subseteq A^*$$
 an ANS

Notation

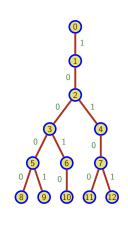
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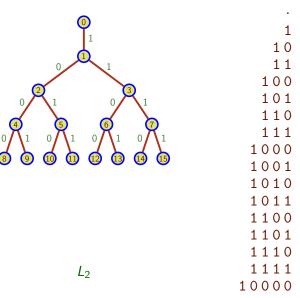
The formula we want:

$$\sum_{i=\mathsf{v}_L(\ell-1)}^{\mathsf{v}_L(\ell)-1} \mathsf{cp}_L(i) = \mathsf{v}_L(\ell)$$

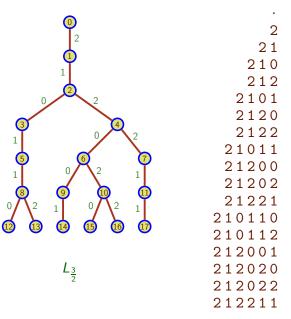
Fact: 'All' 'classical' ANS are PCE



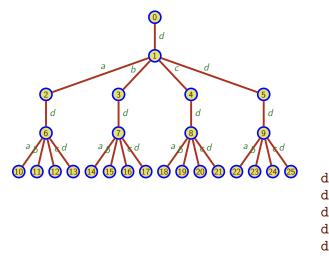
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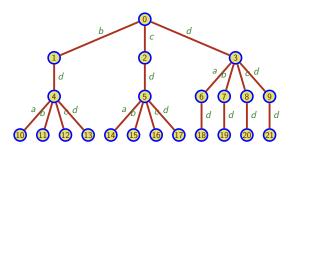


The ANS we consider are PCE



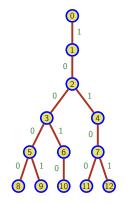
d a d b d c d d dad d b d d c d d d d dada dadb dadc dadd dbda dbdb dbdc

The ANS we consider are PCE



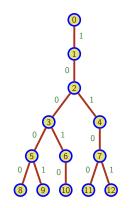
b d c d d a d b d c d d bda bdb bdc bdd cda cdb cdc

- A framework: the Abstract Numeration System model
- A general working hypothesis: Prefix-Closed Extendable Languages
- ▶ An essential parameter: the local growth rate



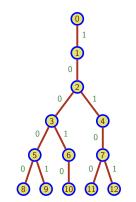
- ▶ A framework: the Abstract Numeration System model
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From $\sum_{i=\mathbf{v}_L(\ell-1)}^{\mathbf{v}_L(\ell)-1} \mathsf{cp}_L(i) = \mathbf{v}_L(\ell)$



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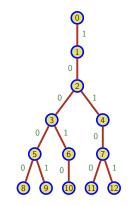
From
$$\sum_{i=\mathbf{v}_L(\ell-1)}^{\mathbf{v}_L(\ell)-1} \mathrm{cp}_L(i) = \mathbf{v}_L(\ell)$$
 follows
$$\sum_{i=0}^{\mathbf{v}_L(\ell)-1} \mathrm{cp}_L(i) = \sum_{j=0}^{\ell} \mathbf{v}_L(j)$$



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hence, if $CP_L = \lim_{N \to \infty} \frac{1}{N} \sum_{i=1}^{N-1} cp_L(i)$ exists



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then
$$\mathsf{CP}_L = \mathsf{lim}_{\ell o \infty} rac{1}{\mathsf{v}_L(\ell)} \sum_{i=0}^\ell \mathsf{v}_L(j)$$

exists

Intermede: a freshperson calculus lemma

Lemma

$$(x_\ell)_{\ell\in\mathbb{N}} \quad x_\ell\in\mathbb{R}_+ \qquad \quad orall \ell \quad y_\ell=\sum_{i=0}^{\ell-1} x_i \qquad \quad \gamma>1$$

TFAE

(i)
$$\lim_{\ell \to \infty} \frac{x_{\ell+1}}{x_{\ell}} = \gamma$$

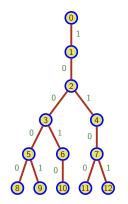
(ii)
$$\lim_{\ell \to \infty} \frac{y_{\ell+1}}{y_{\ell}} = \gamma$$

(iii)
$$\lim_{\ell \to \infty} \frac{y_{\ell}}{x_{\ell}} = \frac{\gamma}{\gamma - 1}$$

- ▶ A framework: the Abstract Numeration System model
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- ▶ An essential parameter: the local growth rate

Proposition

If
$$CP_L = \lim_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} cp_L(i)$$
 exists

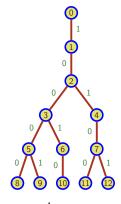


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Proposition

If
$$CP_L = \lim_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} cp_L(i)$$
 exists,

then the local growth rate $\lim_{\ell \to \infty} \frac{\mathbf{u}_L(\ell+1)}{\mathbf{u}_L(\ell)} = \gamma_L$ exist



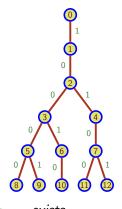
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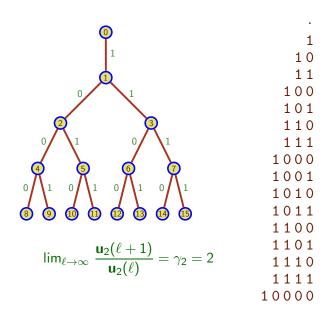
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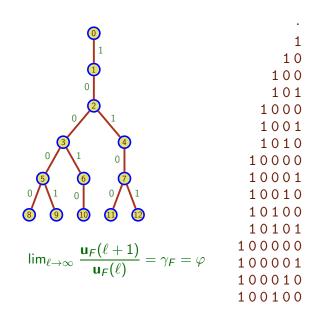
then the local growth rate
$$\lim_{\ell \to \infty} \frac{\mathbf{u}_L(\ell+1)}{\mathbf{u}_L(\ell)} = \gamma_L$$
 exists

and
$$CP_L = \frac{\gamma_L}{\gamma_L - 1}$$

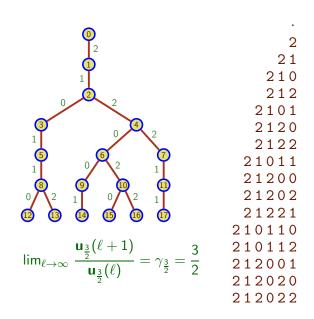




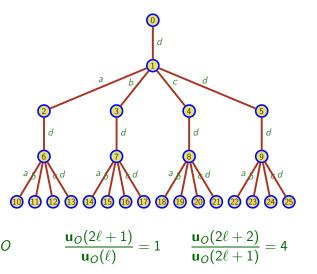
 L_2



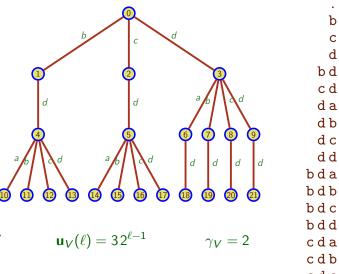
 L_F



 $L_{\frac{3}{2}}$



d a d b d c d d dad d b d d c d d d d dada dadb dadc dadd dbda dbdb dbdc



cdc

A natural question

Proposition

If
$$\operatorname{CP}_L = \lim_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} \operatorname{cp}_L(i)$$
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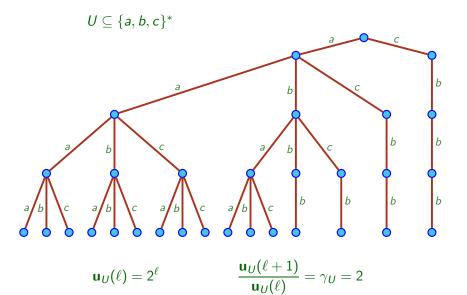
A natural question

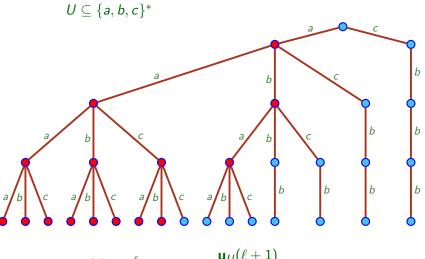
Proposition

If
$$\operatorname{CP}_L = \lim_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} \operatorname{cp}_L(i)$$
 exists, then the local growth rate $\lim_{\ell \to \infty} \frac{\mathbf{u}_L(\ell+1)}{\mathbf{u}_L(\ell)} = \gamma_L$ exists and $\operatorname{CP}_L = \frac{\gamma_L}{\gamma_L - 1}$

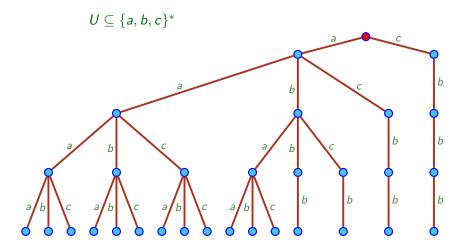
Question

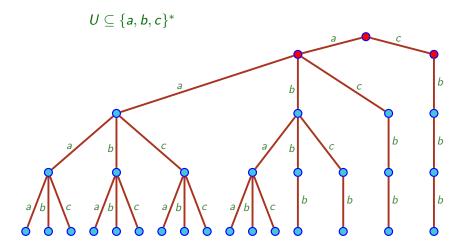
Is the existence of the local growth rate sufficient to insure the existence of the carry propagation?

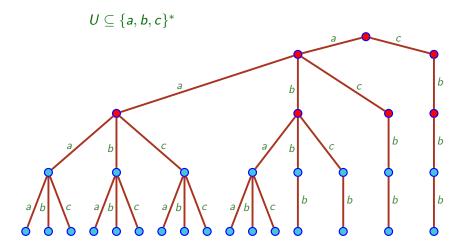


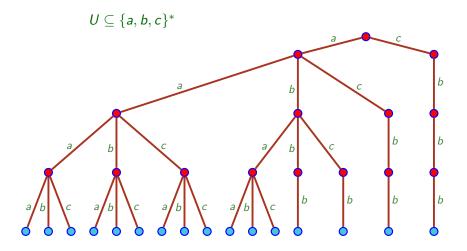


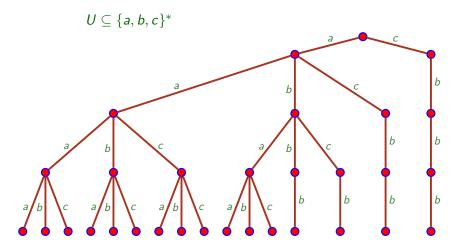
$$\mathbf{u}_U(\ell) = 2^{\ell}$$
 $\frac{\mathbf{u}_U(\ell+1)}{\mathbf{u}_U(\ell)} = \gamma_U = 2$



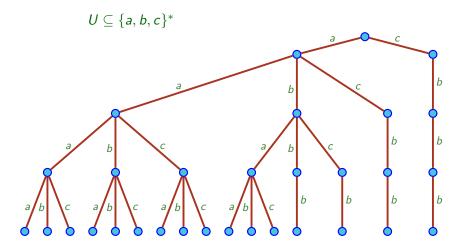


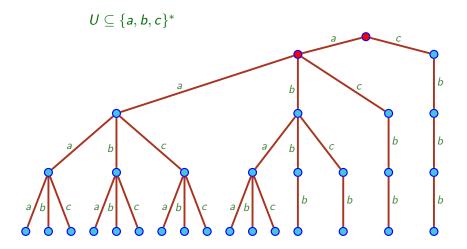


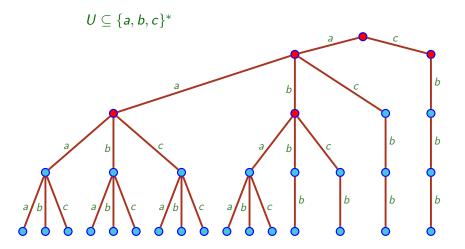


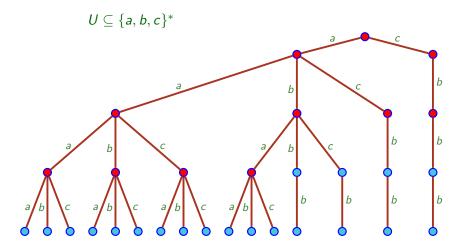


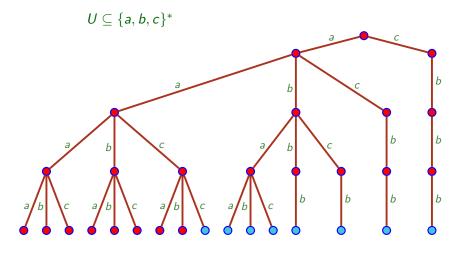
$$\mathsf{im}_{\ell o \infty} rac{1}{\mathsf{v}_U(\ell)} \sum_{i=0}^{\mathsf{v}_U(\ell)-1} \mathsf{cp}_U(j) = 2$$



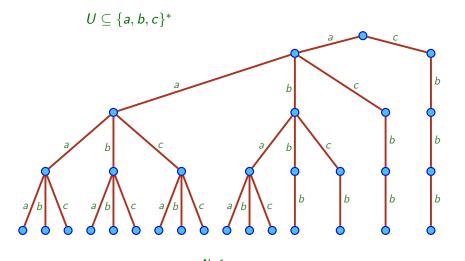








$$\lim_{\ell o \infty} rac{1}{\mathbf{v}_U'(\ell)} \sum_{j=0}^{\mathbf{v}_U'(\ell)-1} \mathsf{cp}_U(j) = rac{11}{6}
eq 2$$



$$\mathsf{CP}_U = \mathsf{lim}_{N o \infty} rac{1}{N} \sum_{i=0}^{N-1} \mathsf{cp}_U(i)$$
 does not exist

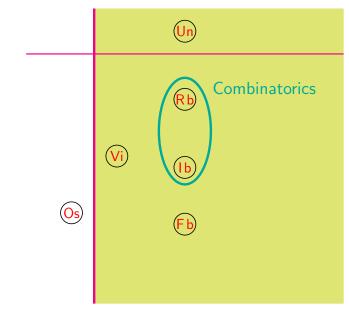
A first conclusion

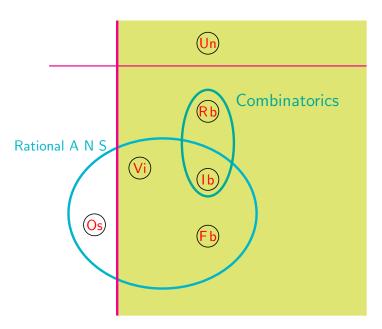
The *existence* of the carry propagation is more difficult to prove than the *computation* of the carry propagation itself

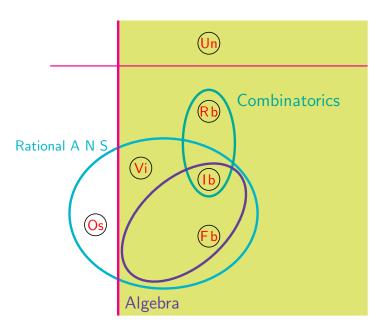


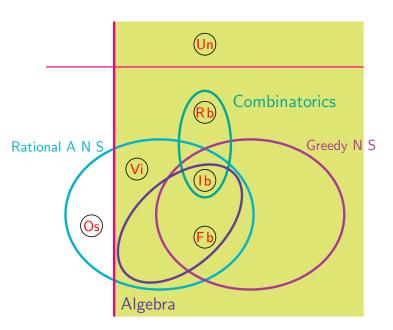


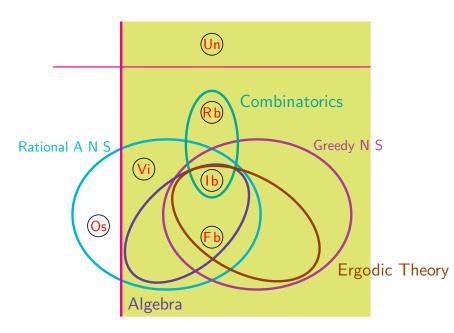
		Un	
		Rb	
	Vi	(lb)	
Os		Fb	

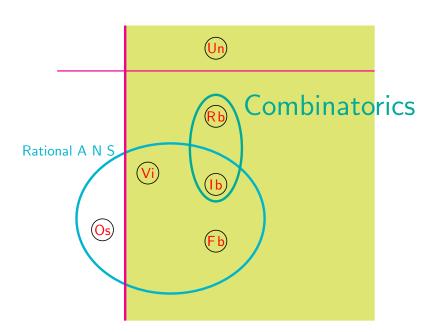


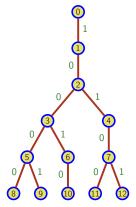




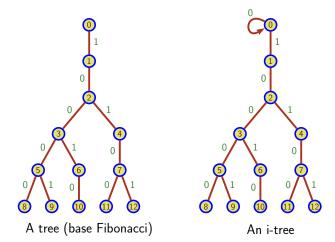


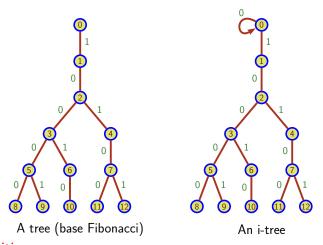




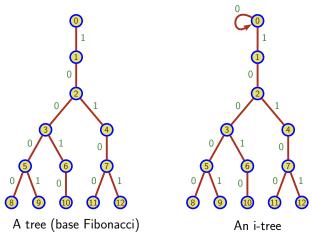


A tree (base Fibonacci)



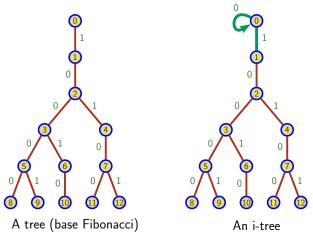


Definition
Signature of L = sequence of the degrees of the nodes
of the *i*-tree of L in a breadth first traversal.



Definition

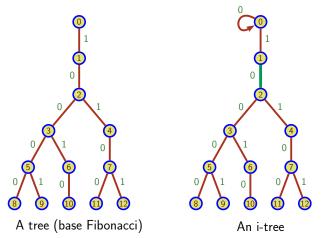
Signature of L = sequence of the *degrees of the nodes* of the *i-tree* of L in a *breadth first traversal*.



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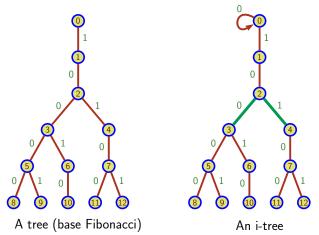
 $s_F = 2$



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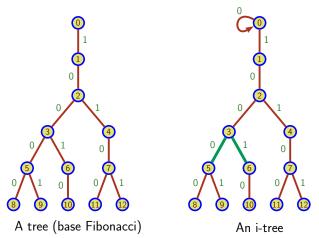
 $s_F = 2 1$



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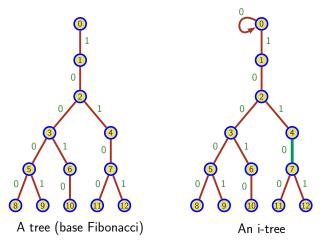
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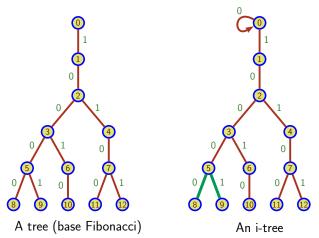
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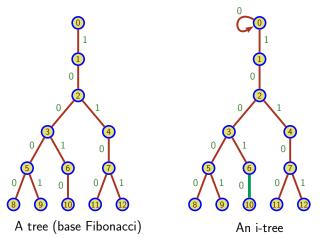
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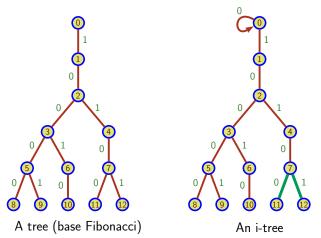
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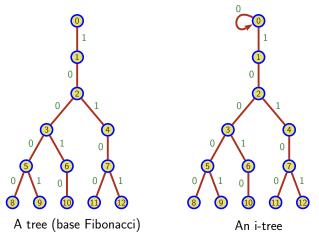
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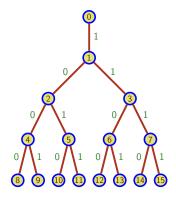
 $s_F = 2 1 2 2 1 2 1 2$



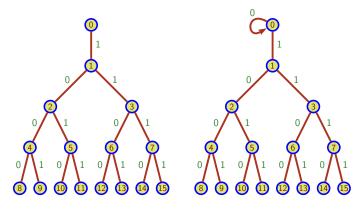
Definition

Signature of L = sequence of the *degrees of the nodes* of the *i-tree* of L in a *breadth first traversal*.

 $s_F = 2 \ 1 \ 2 \ 2 \ 1 \ 2 \ 1 \ 2 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ \cdots$

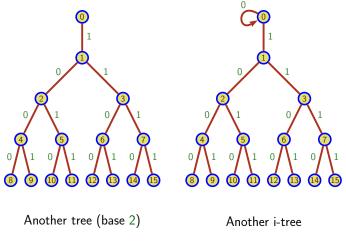


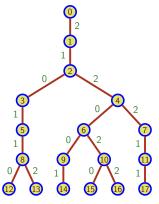
Another tree (base 2)



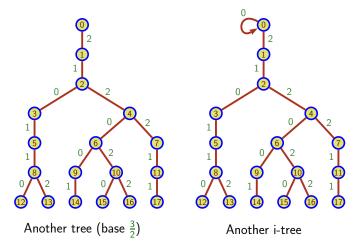
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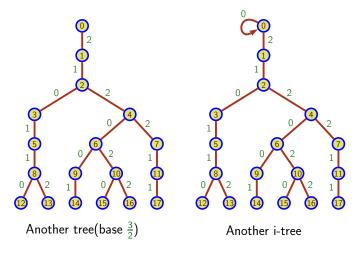
Another i-tree



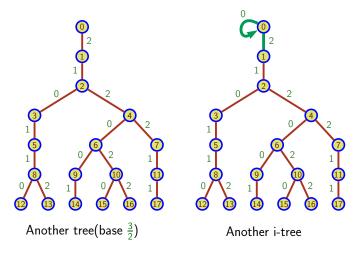


Another tree (base $\frac{3}{2}$)

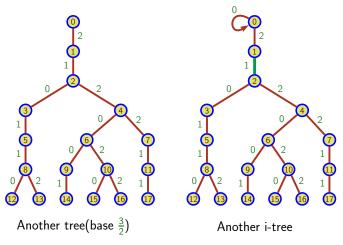




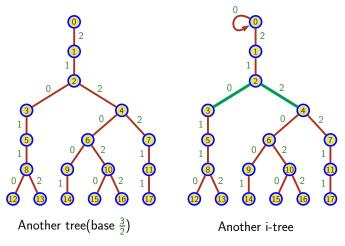
 $s_{\frac{3}{2}} =$



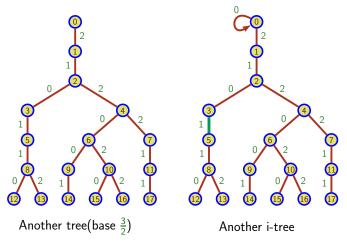
 $s_{\frac{3}{2}} = 2$



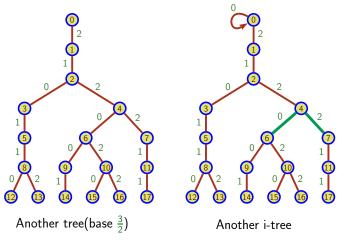
$$s_{\frac{3}{2}} = 2$$



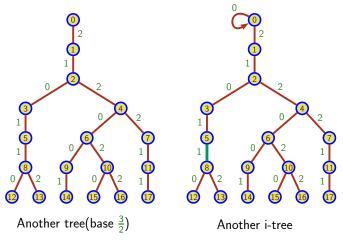
$$s_{\frac{3}{2}} = 2 1 2$$



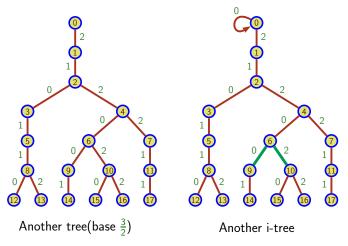
 $s_{\frac{3}{2}} = 2 \ 1 \ 2 \ 1$



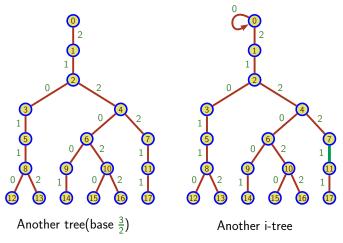
$$s_{\frac{3}{2}} = 2 1 2 1 2$$



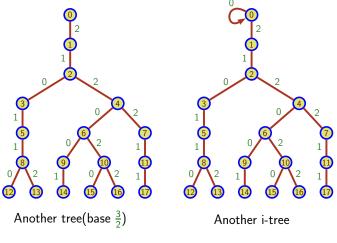
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 $s_{\frac{3}{2}} = 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ 2 \ 1 \ ...$

Definition

A sequence $s = s_0 s_1 s_1 \cdots$ is *valid* if:

$$\forall j \in \mathbb{N}$$
 $\sum_{i=0}^{j} s_i > j+1$.

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Proposition

The signature of an infinite PCE language is valid and a valid signature uniquely defines an (i)-tree.

Periodic signature

$$p, q$$
 coprime integers $p > q \geqslant 1$

Definition

1. \mathbf{r} rhythm of directing parameter (q, p)

$$\mathbf{r} = (r_0, r_1, \dots, r_{q-1})$$
 $\sum_{i=0}^{q-1} r_i = p$

2. A purely periodic signature

$$s = r^{\alpha}$$

Proposition (Marsault-S. 17)

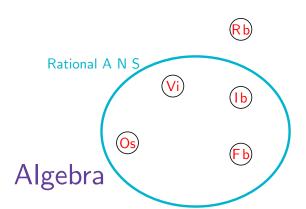
The signature of $L_{\frac{p}{q}}$ is periodic and its period is a rhythm of parameter (q,p).

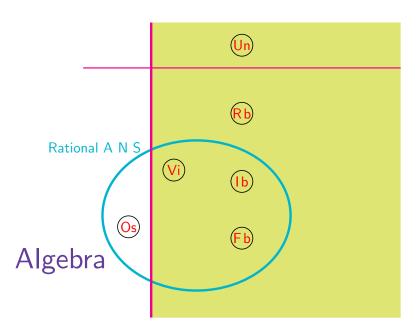
Theorem

L PCE with ultimately periodic signature $\text{with rhythm of parameter } \left(q,p\right).$

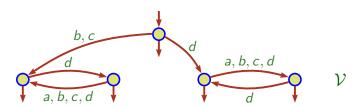
Then
$$CP_L$$
 exists and $CP_L = \frac{p}{p-q}$





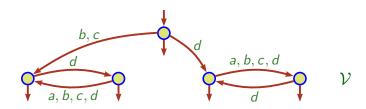


Surprise!



$$\mathbf{u}_V(\ell) = 3.2^{\ell-1}$$

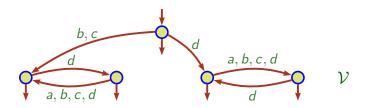
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$$\mathbf{u}_V(\ell) = 3.2^{\ell-1}$$

$$\liminf_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} \mathrm{cp}_{V}(i) \leqslant \frac{28}{15} < \frac{13}{6} \leqslant \limsup_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} \mathrm{cp}_{V}(i)$$

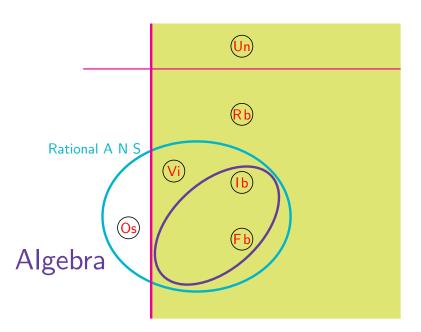
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 γ_V exists but CP_V does not exists



Generating functions

Definition

$$L \subseteq A^*$$
 g_L(z) generating function of L

$$g_L(z) = \sum_{\ell=0}^{\infty} \mathbf{u}_L(\ell) z^{\ell}$$

Generating functions

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$$\operatorname{g}_L(z)=\sum^\infty \operatorname{\mathbf{u}}_L(\ell)\,z^\ell$$

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 rational language \Longrightarrow $\mathrm{g}_L(z)$ rational function $\mathrm{g}_L(z)=rac{R(z)}{Q(z)}$ $R(z),Q(z)\in\mathbb{Z}[z]$

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 rational language \implies $\operatorname{g}_L(z)$ rational function
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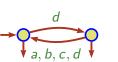
$$\mathbf{u}_L(\ell) = I \cdot (M_{\mathcal{A}})^{\ell} \cdot T$$

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 rational language \Longrightarrow $\mathrm{g}_L(z)$ rational function $\mathrm{g}_L(z)=rac{R(z)}{Q(z)}$ $R(z),Q(z)\in\mathbb{Z}[z]$

Cayley-Hamilton Theorem \Longrightarrow $\mathbf{u}_L(\ell)$ satisfy a linear recurrence relation defined by $\mathsf{P}_\mathcal{A}$, characteristic polynomial of $M_\mathcal{A}$

Some examples

$$M_{\mathcal{O}} = \begin{pmatrix} 0 & 1 \\ 4 & 0 \end{pmatrix}$$
 $P_{\mathcal{O}} = X^2 - 4$ $\mathbf{u}_{\mathcal{O}}(\ell) = \frac{3}{4} 2^{\ell} + \frac{1}{4} (-2)^{\ell}$



Some examples

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$$d$$
 a, b, c, d

$$M_{\mathcal{C}} = \begin{pmatrix} 0 & 2 \\ 2 & 0 \end{pmatrix} \qquad \mathsf{P}_{\mathcal{C}} = \mathsf{X}^2 - \mathsf{4}$$



$$M_{\mathcal{C}} = \begin{pmatrix} 3 & 2 \\ 2 & 0 \end{pmatrix}$$
 $P_{\mathcal{C}} = X^2 - 4$ $\mathbf{u}_{\mathcal{C}}(\ell) = 2^{\ell}$ $P_{\mathcal{C}} = X - 2$

Some examples

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$$\mathbf{u}_{\mathcal{C}}(\ell) = 2^{\ell} \qquad P_{\mathcal{C}} = X - 2$$

$$C, d$$

$$C$$

$$M_{\mathcal{D}} = \begin{pmatrix} 0 & 2 & 1 \\ 2 & 0 & 0 \\ 0 & 0 & 2 \end{pmatrix} \qquad P_{\mathcal{D}} = (X^2 - 4)(2 - X)$$

$$\mathbf{u}_{\mathcal{D}}(\ell) = (\frac{1}{4}\ell + \frac{7}{8})2^{\ell} + \frac{1}{8}(-2)^{\ell} \qquad \stackrel{a, b}{\longrightarrow} \qquad \stackrel{b}{\longrightarrow} \qquad \stackrel{c, d}{\longrightarrow} \qquad \mathcal{D}$$

L rational language \implies $\mathbf{g}_L(z)$ rational function $\mathbf{g}_L(z)$ uniquely written as

$$\mathrm{g}_L(z)=T(z)+rac{S(z)}{Q(z)}$$
 $T(z),S(z),Q(z)\in\mathbb{Q}[z]$ with $\deg R<\deg Q$ and $Q(0)
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 P_L is the *reciprocal polynomial* of Q: $P_L(z) = Q(\frac{1}{z})z^{\deg Q}$

The eigenvalues of L are the zeroes $\lambda_1, \lambda_2, \ldots, \lambda_t$ of P_L and

$$\forall \ell \in \mathbb{N} \qquad \mathbf{u}_L(\ell) = \sum_{i=1}^t \lambda_j^\ell P_j(\ell)$$

where $\deg P_j = \text{multiplicity of } \lambda_j \text{ in } P_L \text{ minus } 1$

Positive rational functions

Theorem (Berstel 71)

- f(z) \mathbb{R}_+ -rational function (not a polynomial) λ maximum of the moduli of its eigenvalues.
 - (i) λ is an eigenvalue of f(z) (hence an eigenvalue in \mathbb{R}_+)
- (ii) Every eigenvalue of f(z) of modulus λ is of the form $\lambda e^{i \theta}$, where $e^{i \theta}$ is a root of the unity
- (iii) The multiplicity of any eigenvalue of modulus $\,\lambda\,$ is at most that of $\,\lambda\,$

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Definition

- (i) f(z) is DEV if λ is the *only* eigenvalue of modulus λ
- (ii) f(z) is ADEV if the multiplicity of λ is greater than the multiplicity of the other eigenvalues of modulus λ

Examples

$$\mathbf{u}_O(\ell) = \frac{3}{4} 2^{\ell} + \frac{1}{4} (-2)^{\ell}$$

$$\mathbf{u}_V(\ell) = \frac{3}{2} 2^\ell$$

$$\mathbf{u}_D(\ell) = (\frac{1}{4}\ell + \frac{7}{8})2^{\ell} + \frac{1}{8}(-2)^{\ell}$$

_.

Theorem

In this case, the modulus of L is equal to γ_L .

A rational language L is $_{\rm ADEV}$ iff the local growth rate $\gamma_{\rm L}$ exists.

Theorem

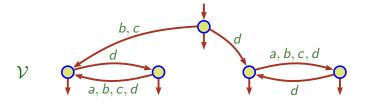
L ADEV rational PCE and λ its modulus.

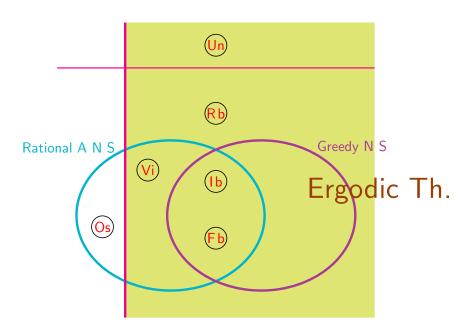
If every quotient of L whose modulus is equal to λ is ADEV, then CP_L exists and $\mathsf{CP}_L = \frac{\lambda}{\lambda - 1}$

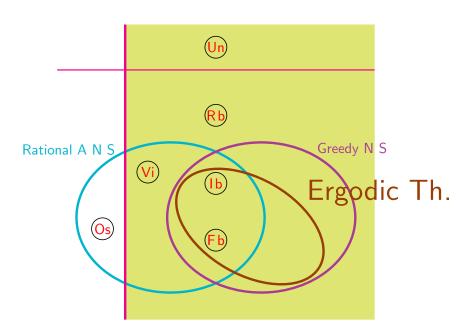
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Our problem

$${\sf Does} \quad {\sf lim}_{N \to \infty} \frac{1}{N} \, \sum_{i=0}^{N-1} {\sf cp}_L(i) \quad \ \, {\sf exist} \,\, ?$$

Our problem

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$$\lim_{N\to\infty} \frac{1}{N} \sum_{i=0}^{N-1} \operatorname{cp}_L(i)$$
 exist?

A rewriting

Does
$$\lim_{N\to\infty}\frac{1}{N}\sum_{i=0}^{N-1}\operatorname{cp}_L(\operatorname{Succ}_L^i(\varepsilon))$$
 exist?

Our problem

Does
$$\lim_{N\to\infty}\frac{1}{N}\sum_{i=0}^{N-1}\operatorname{cp}_L(\operatorname{Succ}_L^i(\varepsilon))$$
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Our problem

Does
$$\lim_{N\to\infty}\frac{1}{N}\sum_{i=0}^{N-1}\operatorname{cp}_L(\operatorname{Succ}_L^i(\varepsilon))$$
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The Ergodic Theorem

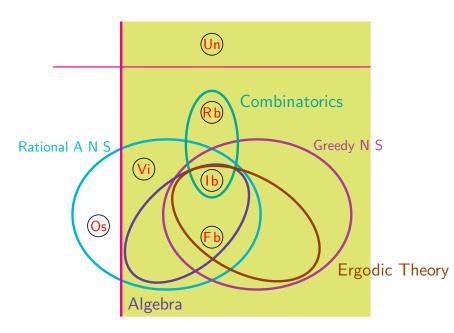
Theorem (Birkhoff 31)

Let (\mathcal{K}, τ) be a dynamical system, μ a τ -invariant measure on \mathcal{K} and $f: \mathcal{K} \to \mathbb{R}$ in $\mathsf{L}^1(\mu)$ (f is absolutely μ -integrable). If (\mathcal{K}, τ) is ergodic, then, for μ -almost all s in \mathcal{K} ,

$$\lim_{N\to\infty}\frac{1}{N}\sum_{i=0}^{N-1}f(\tau^i(s))=\int_{\mathcal{K}}f\,d\mu \quad . \tag{*}$$

If (K, τ) is uniquely ergodic and if f and τ are continuous, then (*) holds for every s in K.

Roadmap



Comme il y a une infinité de choses sages qui sont menées de manière très folle, il y a aussi des folies qui sont menées de manière très sage.

Montesquieu

Just as wise ends are oftentimes sought in the most foolish way, so foolishness is sometimes sought with great wisdom.

Translation by REUBEN THOMAS

- ▶ Dynamical system $(K, \tau) = compact \ set \ K$ equipped with $\tau: K \to K$
- ▶ Probability measure μ on \mathcal{K} is τ -invariant if τ measurable and $\forall B$ measurable, $\mu(\tau^{-1}(B)) = \mu(B)$

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Theorem

Let (K, τ) be a dynamical system, μ a τ -invariant measure on Kand $f: \mathcal{K} \to \mathbb{R}$ in $\mathsf{L}^1(\mu)$. If (\mathcal{K}, τ) is ergodic, then for μ -almost all $s \in \mathcal{K}$ $\lim_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} f(\tau^i(s)) = \int_{\mathcal{K}} f \, d\mu$ (*) If (\mathcal{K}, τ) is uniquely ergodic and if f and τ are continuous, then (*) holds for every s in K.



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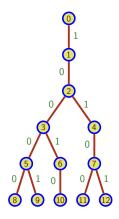
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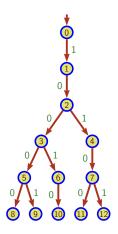
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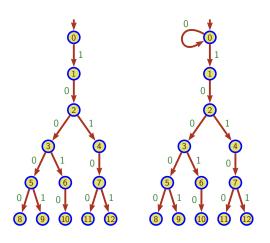
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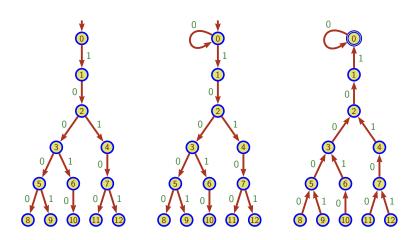
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- Compactification of $L = \overline{\omega 0 L}$:

$$\mathcal{K}_L = \left\{ s \in {}^\omega\!\!A \;\middle|\; \forall j \in \mathbb{N} \;\;\; \exists w^{(j)} \in 0^*L \;\;\;\; s_{[j,0]} \; ext{right factor of} \; w^{(j)}
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Extension of the carry propagation

Proposition

If τ_L is continuous, then cp_L is continuous at any point where it takes finite values.

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- $0*L_G$ is is closed under right factor and

$$\mathcal{K}_G = \overline{{}^\omega 0 \, \mathcal{L}_G} = \left\{ s \in {}^\omega \! A \mid \forall j \in \mathbb{N} \qquad s_{[j,0]} \in 0^* \mathcal{L}_G \right\}$$

Ergodicity of greedy numeration systems

Theorem (Barat-Grabner 16, Grabner-Liardet-Tichy 95)

Let G be a GNS.

For every s in \mathcal{K}_G , $\lim_{j \to \infty} \operatorname{Succ}_G \left(s_{[j,0]} \right)$ exists and defines the odometer $\tau_G \colon \mathcal{K}_G \to \mathcal{K}_G$:

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A GNS G is said to be exponential

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Carry propagation in greedy numeration systems

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Corollary

If G is an exponential GNS with $G_\ell \sim C \, \alpha^\ell$ and if L_G is PCE, then CP_G exists and $\mathsf{CP}_G = \frac{\alpha}{\alpha - 1}$.